

Non-separable Wavelet Transform Using Learnable Convolutional Lifting Steps

Joao O. Parracho^{*†}, Eduardo A. B. da Silva[†], Lucas A. Thomaz^{*‡},
Luis M. N. Tavora^{*‡}, and Sergio M. M. Faria^{*‡}

^{*} Instituto de Telecomunicações, Leiria, Portugal

[‡]ESTG, Polytechnic of Leiria, Leiria, Portugal

[†]Electrical Engineering Program, COPPE — Federal University of Rio de Janeiro, Rio de Janeiro, Brazil



ESCOLA SUPERIOR
DE TECNOLOGIA
E GESTÃO

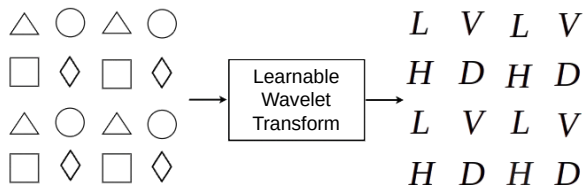
Summary

- 1 Objectives
- 2 Wavelet Transforms Using Lifting
- 3 Lifting operators implementation through CNNs
- 4 Experimental Assessment
- 5 Conclusions

Objectives

- 2D non-separable learnable Wavelet
 - CNN-based lifting scheme
- End-to-end optimised codec

Learnable Wavelet transf

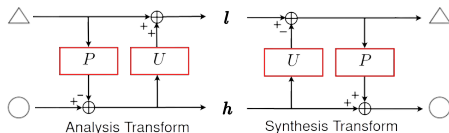


- It generates **four** distinct subbands:
 - Low-pass (L)
 - Horizontal (H)
 - Vertical (V)
 - Diagonal (D)

Learnable Wavelet

HOW ?

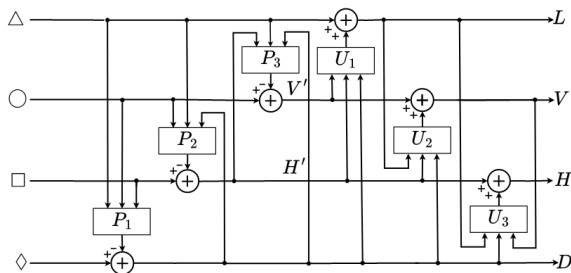
1D Lifting scheme



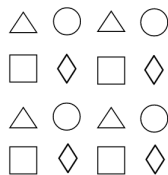
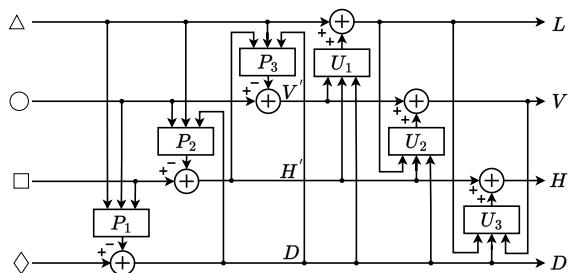
- **Predict operator $P(\cdot)$:** Predict a polyphase component based on the other one
- **Update operator $U(\cdot)$:** Refine coarse details

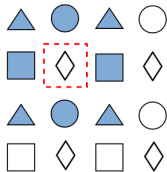
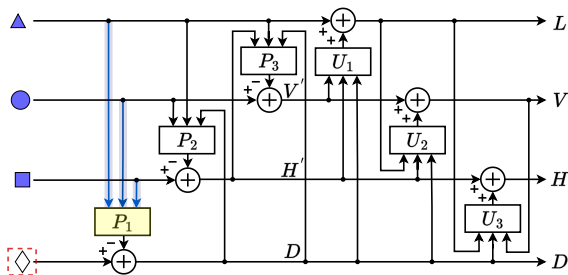
Generic Non-Separable 2D Lifting Scheme

- It comprises **three predict** (P_1, P_2, P_3) and **three update** (U_1, U_2, U_3) steps, with three inputs each
- The lifting operators can use information across all directions

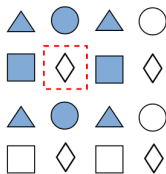
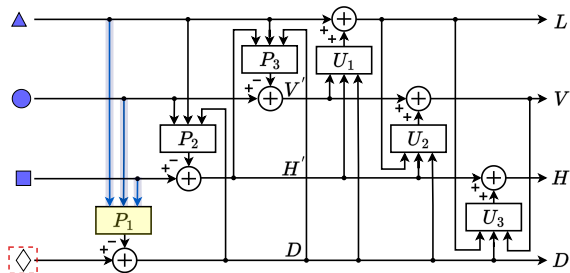


Proposed Non-Separable 2D Lifting

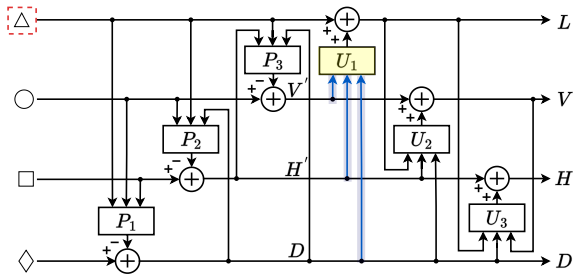


Proposed Non-Separable 2D Liftings: P_1 

$$\begin{bmatrix} a_{01} & a_{02} & a_{03} & 0 \\ a_{10} & 0 & a_{12} & 0 \\ a_{21} & a_{22} & a_{23} & 0 \\ 0 & 0 & 0 & 0 \end{bmatrix}$$

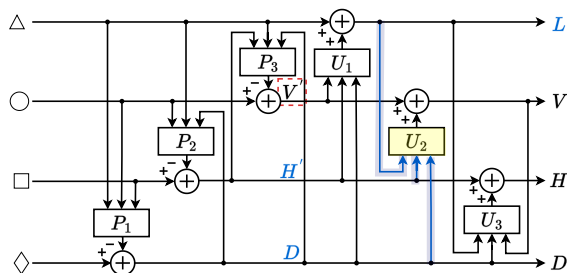
Proposed Non-Separable 2D Liftings: P_1 

Stride = 2

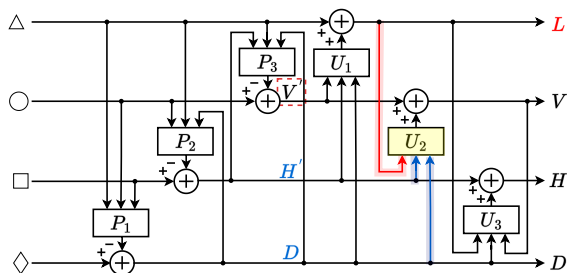
Proposed Non-Separable 2D Lifting: U_1 

$$\begin{array}{cccc}
 \triangle & V' & \triangle & V' \\
 H' & D & H' & D \\
 \triangle & V' & \triangle & V' \\
 H' & D & H' & D
 \end{array}$$

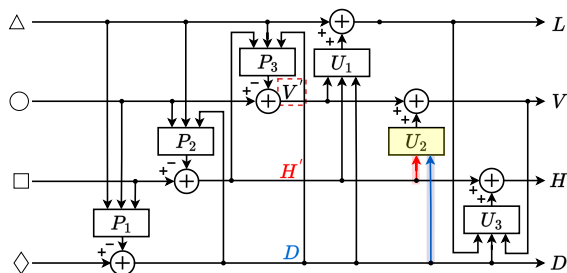
$$\begin{bmatrix}
 0 & 0 & 0 & 0 \\
 0 & a_{11} & a_{12} & a_{13} \\
 0 & a_{21} & 0 & a_{23} \\
 0 & a_{31} & a_{32} & a_{33}
 \end{bmatrix}$$

Proposed Non-Separable 2D Lifting: U_2 

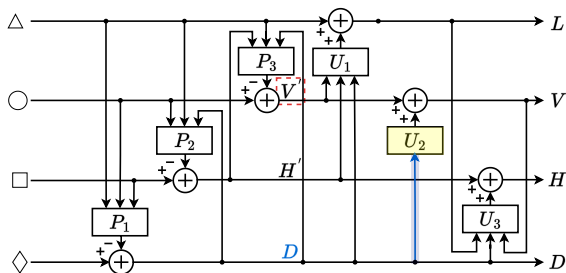
L	V'	L	V'
H'	D	H'	D
L	V'	L	V'
H'	D	H'	D

Proposed Non-Separable 2D Lifting: U_2 

L	V'	L	V'
H'	D	H'	D
L	V'	L	V'
H'	D	H'	D

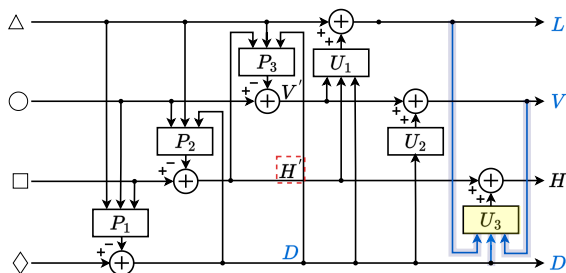
Proposed Non-Separable 2D Lifting: U_2 

L	V'	L	V'
H'	D	H'	D
L	V'	L	V'
H'	D	H'	D

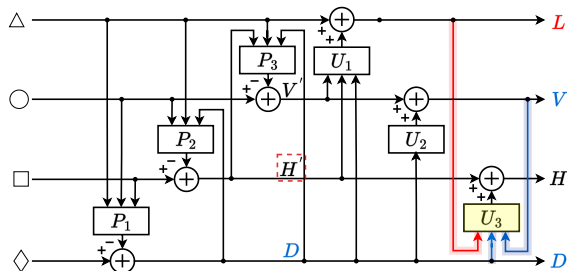
Proposed Non-Separable 2D Lifting: U_2 

$$\begin{matrix}
 L & V' & L & V' \\
 H' & D & H' & D \\
 L & V' & L & V' \\
 H' & D & H' & D
 \end{matrix}$$

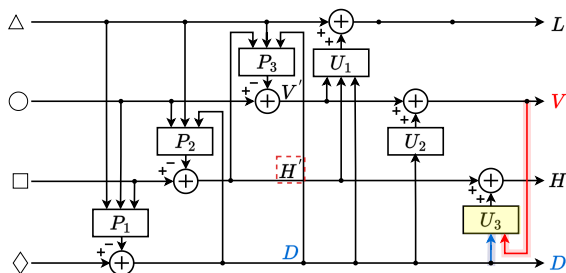
$$\begin{bmatrix}
 0 & 0 & 0 & 0 \\
 0 & a_{11} & 0 & 0 \\
 0 & 0 & 0 & 0 \\
 0 & a_{31} & 0 & 0
 \end{bmatrix}$$

Proposed Non-Separable 2D Lifting: U_3 

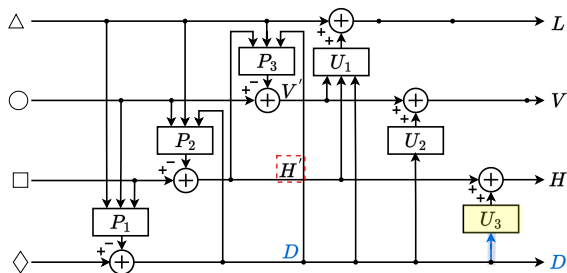
$$\begin{matrix}
 L & V & L & V \\
 H' & D & H' & D \\
 L & V & L & V \\
 H' & D & H' & D
 \end{matrix}$$

Proposed Non-Separable 2D Lifting: U_3 

L	V	L	V
H'	D	H'	D
L	V	L	V
H'	D	H'	D

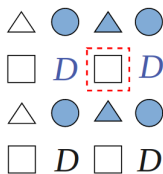
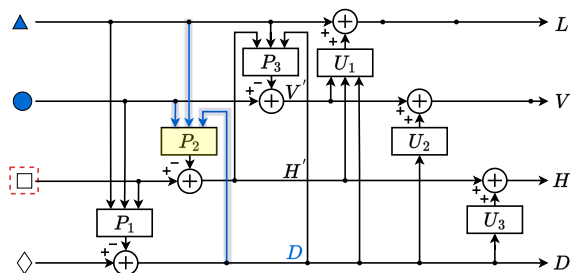
Proposed Non-Separable 2D Lifting: U_3 

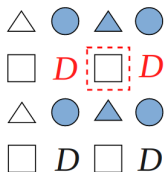
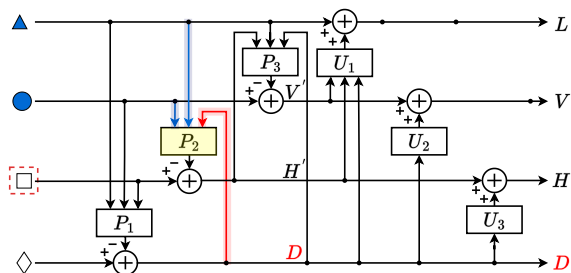
L	V	L	V
H'	D	H'	D
L	V	L	V
H'	D	H'	D

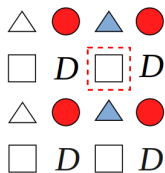
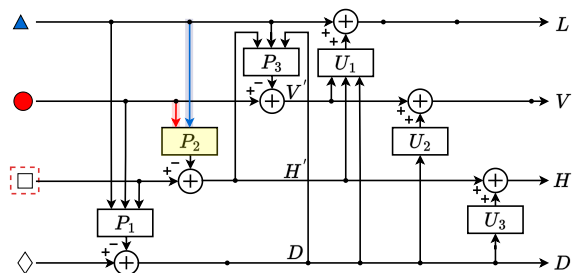
Proposed Non-Separable 2D Lifting: U_3 

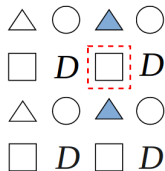
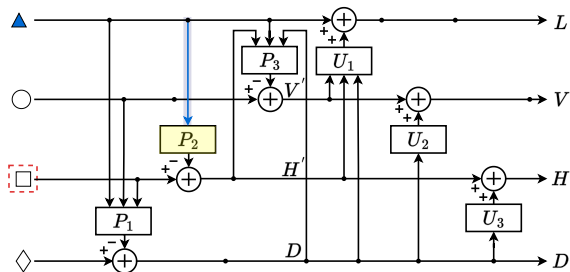
L	V	L	V
H'	D	H'	D
L	V	L	V
H'	D	H'	D

$$\begin{bmatrix} 0 & 0 & 0 & 0 \\ 0 & a_{11} & 0 & a_{13} \\ 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \end{bmatrix}$$

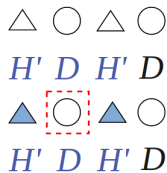
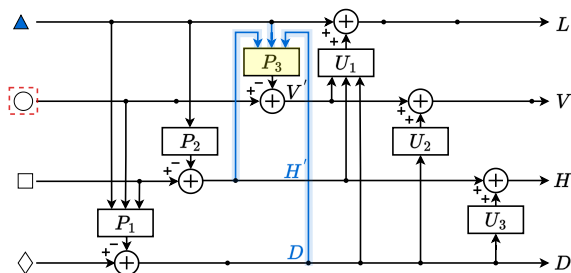
Proposed Non-Separable 2D Lifting: P_2 

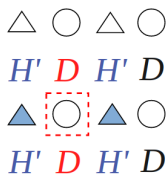
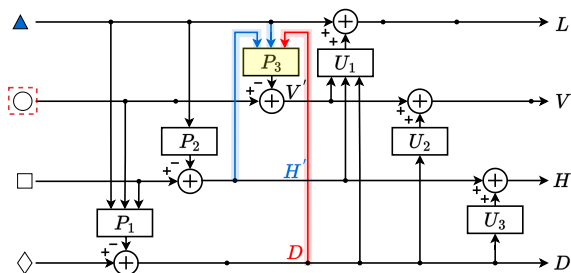
Proposed Non-Separable 2D Lifting: P_2 

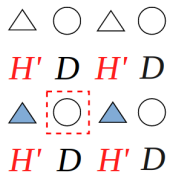
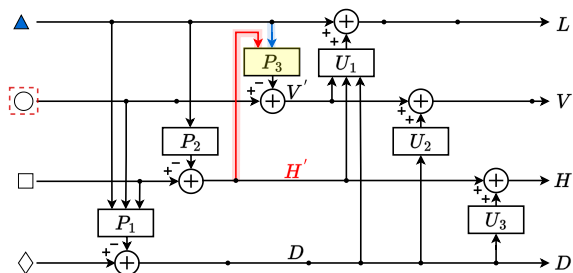
Proposed Non-Separable 2D Lifting: P_2 

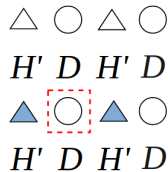
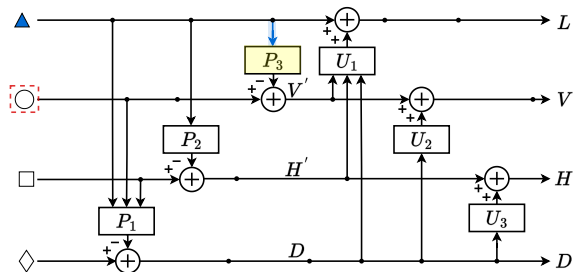
Proposed Non-Separable 2D Lifting: P_2 

$$\begin{bmatrix} 0 & 0 & a_{03} & 0 \\ 0 & 0 & 0 & 0 \\ 0 & 0 & a_{23} & 0 \\ 0 & 0 & 0 & 0 \end{bmatrix}$$

Proposed Non-Separable 2D Lifting: P_3 

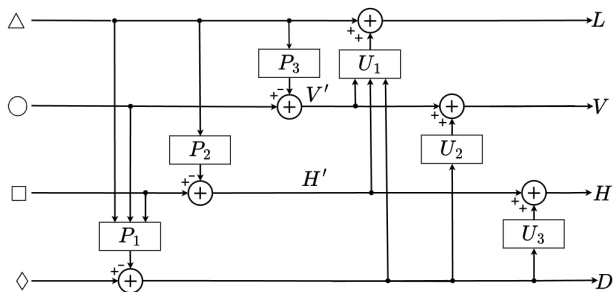
Proposed Non-Separable 2D Lifting: P_3 

Proposed Non-Separable 2D Lifting: P_3 

Proposed Non-Separable 2D Lifting: P_3 

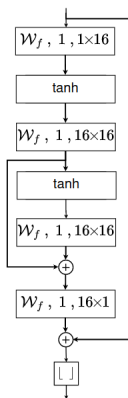
$$\begin{bmatrix} 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \\ a_{21} & 0 & a_{23} & 0 \\ 0 & 0 & 0 & 0 \end{bmatrix}$$

Proposed Non-Separable 2D Lifting



L	V	L	V
H	D	H	D
L	V	L	V
H	D	H	D

How can the lifting operators be implemented through CNNs?

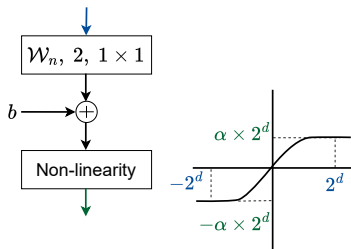


- Architecture proposed in the seminal paper iWave++ [1]
- **ResNet** architecture with **multi-channel** convolutional layers
- Separable wavelet transform

[1] H. Ma, D. Liu, N. Yan, H. Li, and F. Wu, “End-to-end optimized versatile image compression with wavelet-like transform,” IEEE Tran. on Pattern Analysis and Machine Intelligence, vol. 44, no. 3, pp. 1247–1263, Sep. 2022.

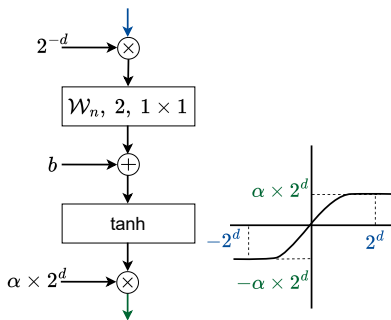
**Does it need to have so many coefficients
Are that many channels needed**

Proposed learnable lifting steps



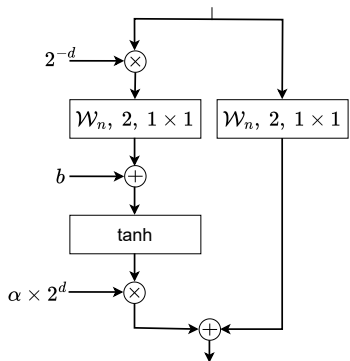
- d : Bit-depth of the input image
- α : Learnable parameter

Proposed learnable lifting steps



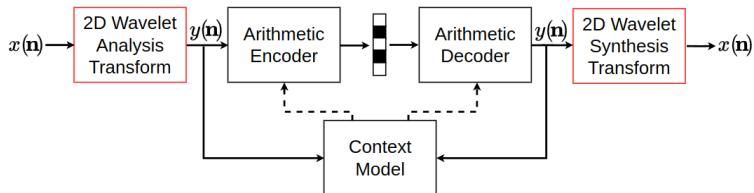
- d : bit-depth of the input image
- α : learnable parameter

Proposed learnable lifting steps



- d : bit-depth of the input image
- α : learnable parameter
- Initialised with the corresponding predict or update operator of the separable 5-3 wavelet

End-to-end coder

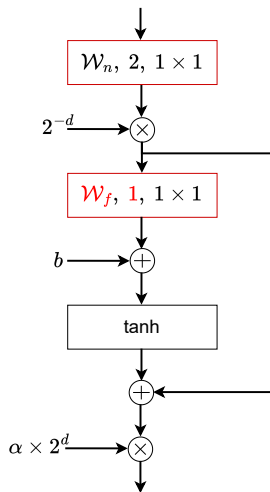
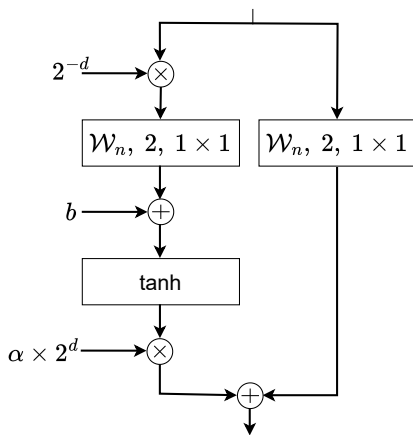


- **Reference:** Lossless version of iWave++ codec
- Replaced with the **proposed 2D non-separable wavelet transform**

2D NSWT-LCLS - Kodak

2D Wavelet transform	Predict/Update modules	No. of learnable coefficients	Rate (bpp)
iWave++	Multi-channel ResNet	39560	8.97
2D NSWT-LCLS	Proposed	264	8.70

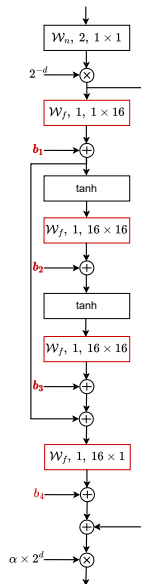
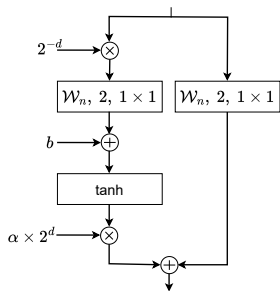
Experiment 1: Variant A



Experiment 1: Comparison of the proposed learnable lifting operator with variant A

Predict/Update Modules	No. of learnable coefficients	Rate (bpp)		
		CLIC.pro	DIV2K	Kodak
Proposed	264	7.70	7.89	8.70
Variant A	384	7.73	8.03	8.71

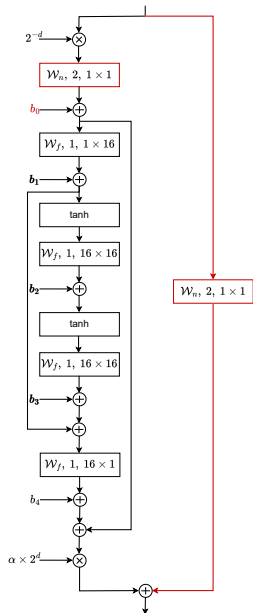
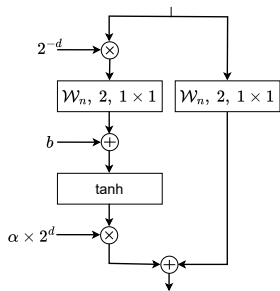
Experiment 2: Variant B (iWave++)



Experiment 2: Comparison of the proposed learnable lifting operator with variant B

Predict/Update Modules	No. of learnable coefficients	Rate (bpp)		
		CLIC.pro	DIV2K	Kodak
Proposed	264	7.70	7.89	8.70
Variant B	118824	7.79	8.07	8.80

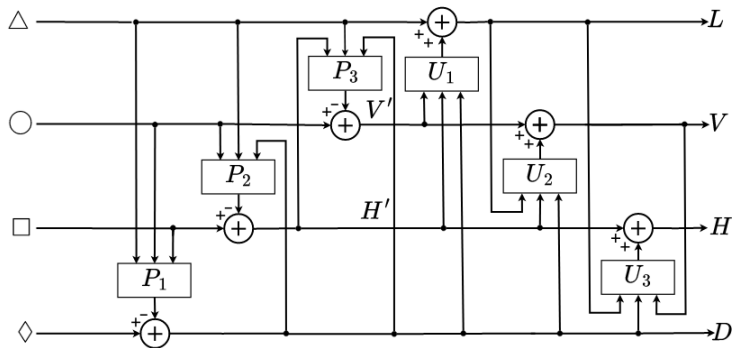
Experiment 3: Variant C



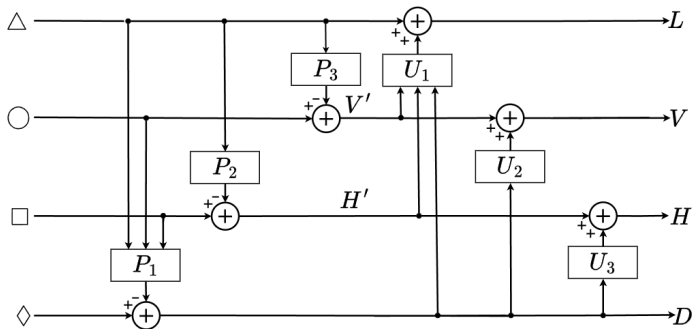
Experiment 3: Comparison of the proposed learnable lifting operator with variant C

Predict/Update Modules	No. of learnable coefficients	Rate (bpp)		
		CLIC.pro	DIV2K	Kodak
Proposed	264	7.70	7.89	8.70
Variant C	118944	7.88	8.15	8.83

Generic Non-Separable 2D Lifting



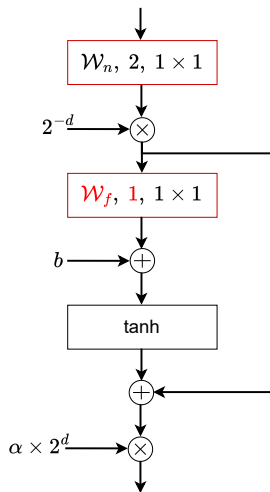
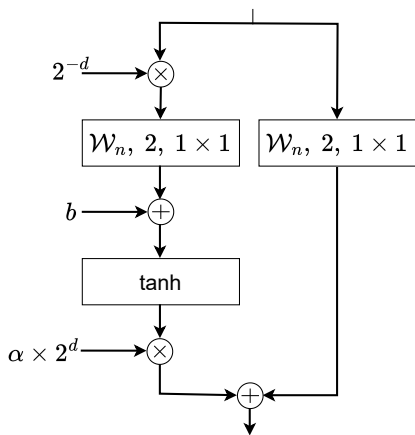
Proposed Non-Separable 2D Lifting



Comparison between the generic and proposed 2D non-separable lifting architecture

2D Non-Separable Lifting Architecture	Predict/Update Modules	No. of learnable coefficients	Rate (bpp)		
			CLIC.pro	DIV2K	Kodak
Proposed	Proposed	264	7.70	7.89	8.70
Generic	Proposed	456	7.82	8.10	8.82

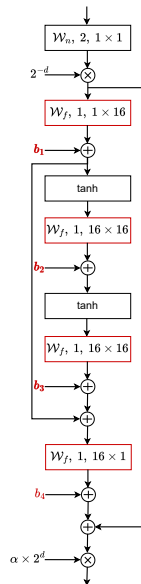
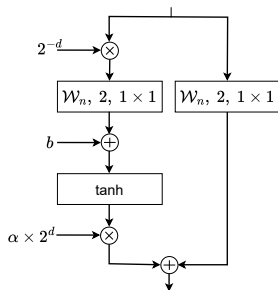
Experiment 5: Variant A



Experiment 5: Variant A

2D Non-Separable Lifting Architecture	Predict/Update Modules	No. of learnable coefficients	Rate (bpp)		
			CLIC.pro	DIV2K	Kodak
Proposed	Proposed	264	7.70	7.89	8.70
Generic	Variant A	480	7.87	8.13	8.84

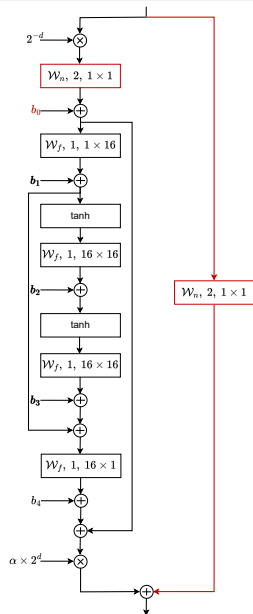
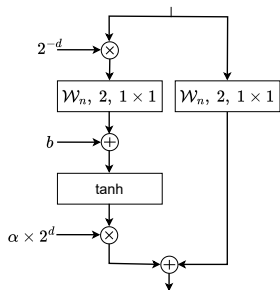
Experiment 6: Variant B (iWave++)



Experiment 6: Variant B (iWave++)

2D Non-Separable Lifting Architecture	Predict/Update Modules	No. of learnable coefficients	Rate (bpp)		
			CLIC.pro	DIV2K	Kodak
Proposed	Proposed	264	7.70	7.89	8.70
Generic	Variant B	118920	7.82	8.10	8.82

Experiment 3: Variant C



Experiment 3: Variant C

2D Non-Separable Lifting Architecture	Predict/Update Modules	No. of learnable coefficients	Rate (bpp)		
			CLIC.pro	DIV2K	Kodak
Proposed	Proposed	264	7.70	7.89	8.70
Generic	Variant C	119136	9.23	9.18	9.47

Conclusions of developed work

- Results highlight **superior compression performance** compared to state-of-the-art end-to-end wavelet-based frameworks
- **150-fold reduction (39560 to 264) in learnable coefficients**

Conclusions of developed work

- Results highlight **superior compression performance** compared to state-of-the-art end-to-end wavelet-based frameworks
- **150-fold reduction (39560 to 264) in learnable coefficients**

The deeper understanding and application
of signal processing principles
in the design of learning-based systems
can lead to simpler and more effective solutions

Thank you!

joao.parracho@smt.ufrj.br