Unrolled Projected Gradient Algorithm for Stain Separation in Digital Histopathological Images

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Histopathological images

Staining the tissue of a given organ using a combination of color dyes

- Hematoxylin (H): bluish-purple stain strongly related to the nuclei.
- Eosin (E): red-pink stain that highlights the cytoplasm of the nucleus.
- Saffron (S): yellow stain used to detect connective tissues.

Figure 1: Example of HES-stained image.

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- Digital histopathological images, particularly HES-stained images, suffer from color variations due to differences in staining protocols, and materials.
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- ☛ Need for the standardization/normalization of the different stain appearances to ensure consistent results. $4/23$

Figure 2: The principle of stain separation: (a) HES-stained image. (b) H-stained image. (c) E-stained image. (d) S-stained image.

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Contribution

- State-of-the-art methods: SVD, ICA and NMF
- Traditional stain separation methods often require image-specific parameter tuning, which is set in an empirical manner and computationally expensive.

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- **■** Goal: design an efficient and robust stain separation method, and enable supervised learning of the hyperparameters

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■ Goal: design an efficient and robust stain separation method, and enable supervised learning of the hyperparameters \rightarrow Main ideas: Projected Gradient algorithm and unrolling paradigm

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Beer-Lambert law [\[1\]](#page-32-1)

$$
I = I_0 \cdot \exp(-WH) \qquad \Longrightarrow
$$

$$
\overrightarrow{\text{Optical Density}} \quad V = -\log
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*I I*0 \setminus

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$$

• $I \in \mathbb{R}^{3 \times N}$: vectorized HES-stained image

- *I*₀: incident light intensity
- $V \in \mathbb{R}^{3 \times N}$: Optical Density (OD) version of *I*
- $W \in \mathbb{R}^{3 \times r}$: stain-color vector matrix (can be experimentally estimated)
- $H \in \mathbb{R}^{r \times N}$: stain concentration matrix
- *N* is the image size
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☛ Goal: Estimate *H* given an observed *V* and a known *W*.

Equation [\(1\)](#page-11-1) can be solved by formulating the following optimization problem:

$$
\begin{array}{ll}\text{minimize} & \frac{1}{2} \|V - WH\|_{\text{F}}^2 + R(H) \\ \text{subject to} & H \ge 0 \end{array} \tag{2}
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Problem [\(2\)](#page-13-1) can be rewritten as follows:

• The Regularization term *R* is given by

$$
R(H; \lambda_1, \lambda_2, \varepsilon) = \underbrace{\frac{\lambda_1}{2} \|H\|_{\mathrm{F}}^2}_{\text{quadratic term}} + \underbrace{\lambda_2 \sum_{c=1}^r \sum_{i=1}^N \sqrt{(D_v H_c^{\top})_i^2 + (D_h H_c^{\top})_i^2 + \varepsilon^2}}_{\text{smoothed total variation (STV)}} \tag{4}
$$

where λ_1 and λ_2 are positive regularization parameters, ε is the STV parameter and, $D_v \in \mathbb{R}^{N \times N}$ and $D_h \in \mathbb{R}^{N \times N}$ are the vertical and horizontal discrete gradient operators, respectively.

Our minimization problem can be seen as the minimization of two functions *g* and *f*

$$
\underset{H \in \mathbb{R}^{r \times N}}{\text{minimize}} \underbrace{\frac{1}{2} ||V - WH||_{\text{F}}^{2} + R(H; \lambda_{1}, \lambda_{2}, \varepsilon)}_{g(H; \lambda_{1}, \lambda_{2}, \varepsilon)} + \underbrace{\iota_{[0, + \infty]^{r \times N}}(H)}_{f(H)}
$$
(5)

- • *f* and *g* are proper lower-semicontinuous convex functions on R *r*×*N*
- *g* is differentiable with an *L*-Lipschitzian gradient with respect to *H*.
- *f* is a function whose proximity operator reduces to the projection $proj_{[0, +\infty[^{r \times N}]}$ onto the nonnegative orthant $[0, +\infty[^{r \times N}].$

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- ☛ Problem [\(5\)](#page-16-1) can be solved using Projected Gradient Algorithm (PGA), which is a special case of the proximal gradient algorithms [\[2\]](#page-32-2).

[General context](#page-2-0) [Problem formulation](#page-10-0) [Unrolled optimization algorithm](#page-20-0) [Results](#page-25-0) [Conclusion and Perspectives](#page-29-0) Optimization algorithm

Algorithm 1 Projected Gradient Algorithm (PGA)

Input: Initial point $H_0 \in \mathbb{R}^{r \times N}$, fixed stepsize $\gamma \in (0, \frac{2}{L}]$ and number of iterations $K \in \mathbb{N}^*$. for $k = 0, 1, ..., K - 1$ do $H_{k+1} = \text{proj}_{[0,+\infty[^{r\times N}}\left(H_{k}-\gamma \nabla g(H_{k};\lambda_{1},\lambda_{2},\varepsilon)\right)$ end for

where *L* is the Lipschitz constant of the gradient ∇g given by

$$
L = ||W||_S^2 + \lambda_1 + 8\frac{\lambda_2}{\varepsilon}.
$$

Algorithm 2 Projected Gradient Algorithm (PGA)

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Difficulty

Hyperparameters setting (γ , λ_1 , λ_2 , ϵ)

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Advantages

- Deployment of a neural network architecture.
- Learning the hyperparameters from a training dataset.
- Interpretable and flexible algorithm.
- Reducing the required number of iterations (faster convergence).

$$
H_{k+1} = \text{proj}_{[0,+\infty[^{r\times N}}\left(H_k - \gamma_k \nabla g(H_k; \lambda_{1,k}, \lambda_{2,k}, \varepsilon_k)\right) \\
= \text{proj}_{[0,+\infty[^{r\times N}}\left(H_k - \gamma_k(A(H) + B(H; \lambda_{1,k}) + C(H; \lambda_{2,k}, \varepsilon_k))\right) \tag{6}
$$

Figure 3: Unrolled PGA architecture.

where,

- $A(H) = W^{\top}(WH V)$
- $B(H; \lambda_{1,k}) = \lambda_{1,k}H$
- $C(H; \lambda_{2,k}, \varepsilon_k) = \nabla STV(H; \lambda_{2,k}, \varepsilon_k)$ 14/23

Hyperparameters learning

To obtain the vector of parameters $\Theta_k = [\lambda_{1,k}, \lambda_{2,k}, \varepsilon_k, \gamma_k]^\top$, and ensure its positivity, we consider:

$$
\forall k \in \{0, ..., K - 1\} \quad \Theta_k = \text{Softplus}(\Psi_k), \tag{7}
$$

where Ψ_k is a vector of parameters learned during the training.

Figure 4: Unrolled PGA architecture with parameters learning.

Loss function

The resulting neural network architecture is trained by minimizing:

$$
\mathcal{L}(\Theta) = \frac{1}{3} \sum_{c \in \{\text{h}, \text{e}, \text{s}\}} \ell(I_c^{\text{(GT)}}, I_c(\Theta)),\tag{8}
$$

where

- $\Theta = (\Theta_k)_{0 \le k \le K-1}$ represents the global set of parameters
- ℓ is a given criterion used to compare the reconstructed image I_c associated to the stain c with its corresponding ground truth $I_c^{\text{(GT)}}$.

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- Our data was acquired at the Kremlin-Bicêtre hospital, France.
- The unrolled PGA was composed of 20 layers \Rightarrow 80 trainable parameters.
- Our model is implemented in Pytorch, using the ADAM optimizer with an initial learning rate set to 0.01.
- The batch size and number of epochs were set to 5 and 150, respectively.

Table 1: Stain separation performance.

Key observations: PGA and Unrolled PGA vs SOA Methods

- Both PGA and Unrolled PGA demonstrated superior performance compared to the SOA methods.
- The Unrolled PGA outperformed all methods across all metrics.

Figure 5: Illustration of the separated stain images (3 examples). $1st$ row: Ground truth. 2nd row: Xu *et al.* [\[4\]](#page-32-4). 3rd row: Vahadane *et al.* [\[5\]](#page-32-5). 4th row: Yang *et al.* [\[6\]](#page-32-6). 5th row: PGA. Last row: unrolled PGA. 20023

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Conclusion

- \triangleright Designing an iterative projected gradient algorithm for the stain separation problem.
- \triangleright Incorporating smooth total variation regularization to improve the quality of separation.
- \vee Unrolling the algorithm into a neural network architecture
- \vee The proposed method demonstrated significant objective improvements, in terms of PSNR, SSIM, and PieAPP, over state-of-the-art methods.
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Perspectives

- **→** Extending the optimization problem to estimate both the matrices **W** and **H** simultaneously
- **→** Going beyond stain separation to tackle stain normalization as well as other potential applications

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