

## Summary

### Key Takeaways

- Super-resolution is the art of recovering spikes from their low-pass projections.
- Over the last decade specifically, several significant advancements linked with mathematical guarantees and recovery algorithms have been made.
- Most super-resolution algorithms rely on a two-step procedure: deconvolution followed by high-resolution frequency estimation.
- However, for this to work, exact bandwidth of low-pass filter must be known; an assumption that is central to the mathematical model of super-resolution.
- On the flip side, when it comes to practice, smoothness rather than bandlimit-ness is a much more applicable property.
- Since smooth pulses decay quickly, one may still capitalize on the existing super-resolution algorithms provided that the essential bandwidth is known.
- This problem has not been discussed in literature and is the theme of our work.
- We propose a bandwidth selection criterion which works by minimizing a proxy of estimation error that is dependent of bandwidth.

## Setup for Super-resolution of Sparse Signals

Given  $N$  time-domain, sampled measurements,  $y(nT)$  of the continuous signal

$$y(t) = \sum_{k=0}^{K-1} c_k \phi(t - t_k), \quad (1)$$

the super-resolution problem seeks to recover the  $2K$  unknowns  $\{c_k, t_k\}_{k=0}^{K-1}$  assuming that: (A1)  $K$  and  $\phi$  are known; and (A2)  $\phi$  is bandlimited (its Fourier transform is compactly supported). The notion of sparsity naturally finds its way in the super-resolution problem because  $y(t) = (\phi * s)(t)$  where  $s$  is a continuous-time,  $K$ -sparse signal

$$s(t) = \sum_{k=0}^{K-1} c_k \delta(t - t_k), \quad t_k \in [0, \tau]. \quad (2)$$

### Recovery Strategy

Typical recovery procedure in the super-resolution problem exploits the structure of sparse signal. This is done in two steps:

#### 1 Deconvolution.

Here  $\hat{s}(n\omega_0)$  is estimated by using,

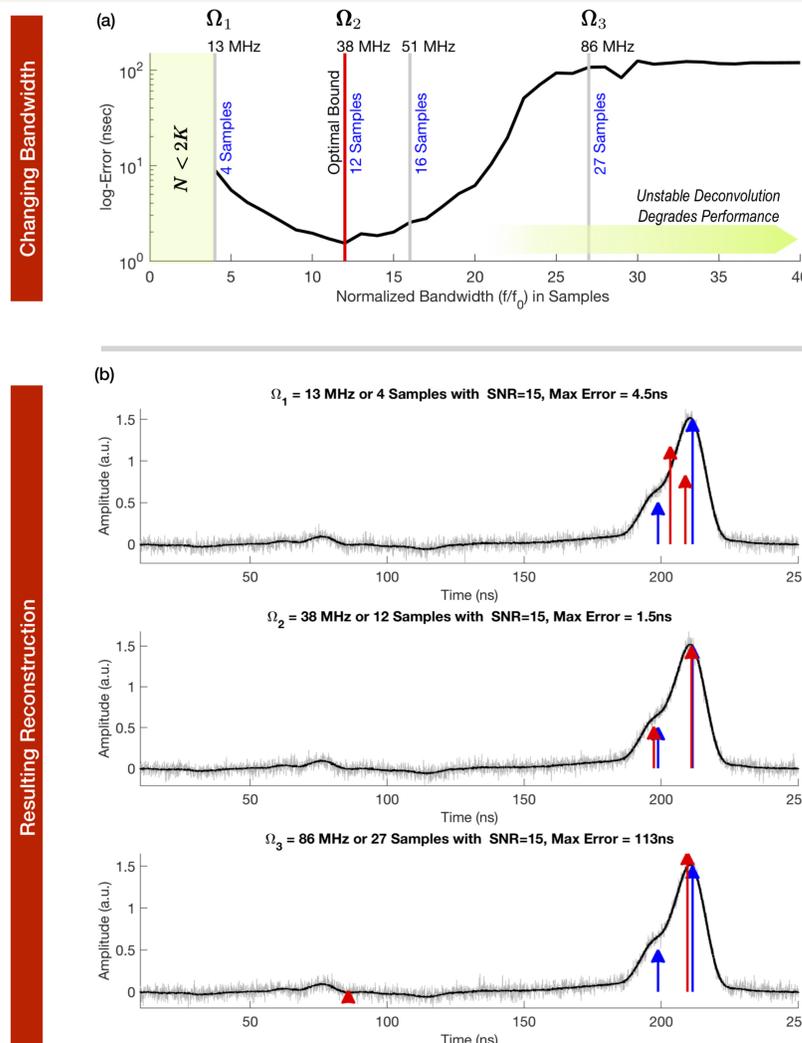
$$\hat{s}(n\omega_0) = \frac{\hat{y}(n\omega_0)}{\hat{\phi}(n\omega_0)} = \sum_{k=0}^{K-1} c_k e^{-jn\omega_0 t_k}, \quad n\omega_0 \in [-\Omega, \Omega]$$

where  $\Omega$  is the bandwidth of  $\phi$ .

#### 2 Parameter Estimation.

Once  $\hat{s}(n\omega_0)$  is computed, its parametric/sinusoidal form is then used for estimating unknowns  $\{c_k, t_k\}_{k=0}^{K-1}$  using high resolution spectral estimation methods, fitting approaches or recently developed convex-optimization based approaches.

## Super-resolution is Sensitive to Bandwidth



### Bandwidth Affects Reconstruction

Varying  $\Omega$  arbitrarily, leads to the following scenarios.

- When  $\Omega$  is such that  $N < 2K$ , the parameter estimation by fitting will fail as the system is under-determined.
- Gradually increasing  $\Omega$  such that  $2K\omega_0 \leq \Omega < \Omega_0$  leads to *over-sampling* and hence to performance enhancement of the spectral estimation methods.
- Understandably, when  $\Omega$  approaches the heuristically chosen  $\Omega_0$ , the deconvolution step becomes ill-posed.

## Towards a Bandwidth Selection Principle

Typically, in practice,  $\phi$  is smooth and the selection criterion for bandwidth parameter  $\Omega$  is *unclear*. Consider the case of noisy measurements  $m(t) = y(t) + e(t)$  where  $e(t)$  is bounded noise. Dividing  $\hat{m}(\omega)$  by  $\hat{\phi}$  (i.e. deconvolving), we obtain

$$\frac{\hat{m}(\omega)}{\hat{\phi}(\omega)} = \sum_{k=0}^{K-1} c_k e^{-j\omega t_k} + \hat{e}_\phi(\omega), \quad |\omega| \leq \Omega \quad (3)$$

$$|\hat{e}_\phi(\omega)| = \left| \frac{\hat{e}(\omega)}{\hat{\phi}(\omega)} \right| \leq \eta \cdot \underbrace{\left( \min_{|\omega| \leq \Omega} |\hat{\phi}(\omega)| \right)^{-1}}_{:= \varepsilon_\Omega}. \quad (4)$$

The bandwidth selection criterion is given by,  $\Omega_{\text{opt}} = \arg \min_{\Omega} G(\Omega, \mathcal{D}) \varepsilon_\Omega$ .

In the above,  $G(\Omega, \mathcal{D})$  upper-bounds a quantity *linearized condition number*  $\kappa^{(\ell)}$ ,

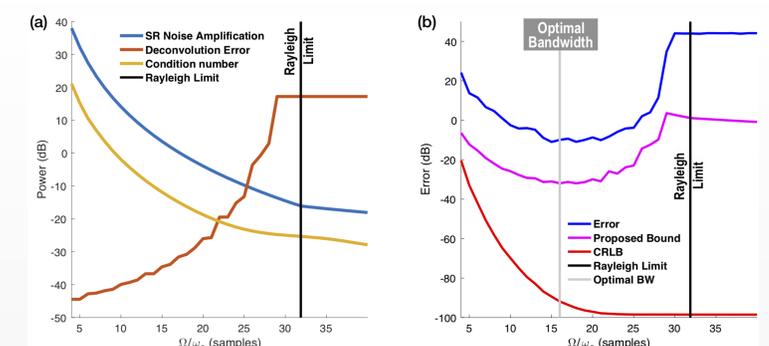
$$\sup_{\underline{\theta} \in \mathcal{D}, k \in [0, K-1]} \kappa^{(2k+1)}(\underline{\theta}, \Omega) \leq G(\Omega, \mathcal{D}), \quad \underline{\theta} := \{c_k, t_k\}_{k=0}^{K-1} \in \mathbb{R}^{2K}.$$

More precisely,  $\kappa^{(m)}$  is the  $\ell_1$  norm of the  $m$ -th row of the matrix  $\mathbf{J}^\dagger$ , where  $\mathbf{J}$  is the Jacobian matrix representing  $\hat{s}(n\omega_0)$ , and  $(\cdot)^\dagger$  is the Moore-Penrose pseudo-inverse.

Theorem: Suppose that  $\forall \underline{\theta} \in \mathcal{D} \subset \mathbb{R}^{2K}$ , the amplitudes are bounded:  $0 < A_1 \leq |c_k| \leq A_2$ , and the minimal distance  $M_\delta = \min_{k \neq \ell} |t_k - t_\ell| \geq \Delta > 0$  is also bounded. There exist constants  $\{C_k\}_{k=1}^3$ , depending on  $A_1, A_2, K$ , such that the following bounds hold.

- Well-separated Regime If  $\Delta > C_1/\Omega$ , then  $\kappa^{(\ell)} \leq C_2/\Omega, \ell = 1, 3, \dots, 2K-1$ .
- Single Cluster Regime If  $M_\delta < 2\pi K/\Omega$ , then  $\kappa^{(\ell)} \leq (C_3/\Omega) (\Omega\Delta)^{2K-2}$ .

### Optimal Bandwidth Computation



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