



Introduction

➤ **Motivation:** In this paper, we investigate unsupervised cross-corpus speech emotion recognition (SER). The training (source) and testing (target) speech signals come from two different corpora which may have different feature distributions and therefore lots of existing SER methods would not work.

➤ **Solution:** (1) Construct a label space based on the label information provided by the source speech corpora to serve as the predefined common subspace for Domain-Adaptive Subspace Learning (DoSL). (2) Learn a projection matrix which transforms the source and target speech signals from the original feature space to a common subspace.

Method

Our DoSL aims at learning a projection matrix \mathbf{U} to project the source speech feature matrix \mathbf{X}_s from the original feature space to such common subspace spanned by the columns of \mathbf{L}_s , which can be formulated as the following optimization problem:

$$\min_{\mathbf{U}} \|\mathbf{L}^s - \mathbf{U}^T \mathbf{X}^s\|_F \quad (1)$$

Enforce the projected source and target speech features share the similar distributions

$$\min_{\mathbf{U}} \left\| \frac{1}{N_s} \sum_{i=1}^{N_s} \mathbf{U}^T \mathbf{x}_i^s - \frac{1}{N_t} \sum_{i=1}^{N_t} \mathbf{U}^T \mathbf{x}_i^t \right\|^2 \quad (2)$$

Minimizing the combination of the above objective functions in Eqs. (1) and (2)

$$\min_{\mathbf{U}} \|\mathbf{L}^s - \mathbf{U}^T \mathbf{X}^s\|_F + \lambda_1 \left\| \frac{1}{N_s} \sum_{i=1}^{N_s} \mathbf{U}^T \mathbf{x}_i^s - \frac{1}{N_t} \sum_{i=1}^{N_t} \mathbf{U}^T \mathbf{x}_i^t \right\|^2 + \lambda_2 \|\mathbf{U}^T\|_{2,1} \quad (3)$$

Optimization

DoSL model is solved by using inexact augmented Lagrange multiplier (IALM) method. More specifically, by introducing a auxiliary variable \mathbf{Q} which satisfies $\mathbf{U} = \mathbf{Q}$, we convert the optimization problem of DoSL to a constrained one which can be expressed as:

$$\begin{aligned} \min_{\mathbf{U}} & \|\mathbf{L}^s - \mathbf{Q}^T \mathbf{X}^s\|_F^2 \\ & + \lambda_1 \left\| \frac{1}{N_s} \sum_{i=1}^{N_s} \mathbf{Q}^T \mathbf{x}_i^s - \frac{1}{N_t} \sum_{i=1}^{N_t} \mathbf{Q}^T \mathbf{x}_i^t \right\|^2 + \lambda_2 \|\mathbf{U}^T\|_{2,1} \\ \text{s. t. } & \mathbf{U} = \mathbf{Q} \end{aligned} \quad (4)$$

Subsequently, the Lagrange function of Eq. (4) can be obtained as follows:

$$\begin{aligned} L(\mathbf{U}, \mathbf{Q}, \mathbf{T}, \mu) &= \|\mathbf{L}^s - \mathbf{U}^T \mathbf{X}^s\|_F + \lambda_1 \|\mathbf{Q}^T \bar{\mathbf{X}}_i^{st}\|^2 \\ &+ \lambda_2 \|\mathbf{U}^T\|_{2,1} + tr[\mathbf{T}^T (\mathbf{U} - \mathbf{Q})] + \frac{\mu}{2} \|\mathbf{U} - \mathbf{Q}\|_F^2 \end{aligned} \quad (5)$$

where $\bar{\mathbf{X}}_i^{st} = \frac{1}{N_s} \sum_{i=1}^{N_s} \mathbf{x}_i^s - \frac{1}{N_t} \sum_{i=1}^{N_t} \mathbf{x}_i^t$, \mathbf{T} is the Lagrange multiplier, and $\mu > 0$ is the regularization parameter.

Iteratively minimize the Lagrange function of Eq. (4) until convergence:

1. Update \mathbf{Q}

$$\begin{aligned} \min_{\mathbf{Q}} & \|\mathbf{L}^s - \mathbf{Q}^T \mathbf{X}^s\|_F^2 + \lambda_1 \|\mathbf{Q}^T \bar{\mathbf{X}}_i^{st}\|^2 + \lambda_2 \|\mathbf{U}^T\|_{2,1} \\ & + tr[\mathbf{T}^T (\mathbf{U} - \mathbf{Q})] + \frac{\mu}{2} \|\mathbf{U} - \mathbf{Q}\|_F^2 \end{aligned}$$

2. Update \mathbf{U} :

$$\min_{\mathbf{U}} \frac{\lambda_2}{\mu} \|\mathbf{U}^T\|_{2,1} + \frac{1}{2} \left\| \mathbf{U}^T - \left(\mathbf{Q}^T - \frac{\mathbf{T}^T}{\mu} \right) \right\|_F^2$$

3. Update \mathbf{T} and μ

$$\mathbf{T} = \mathbf{T} + \mu(\mathbf{U} - \mathbf{Q}), \mu = \max(\mu_{max}, \rho\mu),$$

4. Check convergence: $\|\mathbf{U} - \mathbf{Q}\|_{\infty} < \epsilon$

$$\text{emotion_labels} = \underset{k}{\operatorname{argmin}} \{ [U_*^T X^t](k, :) \}$$

where $[U_*^T X^t](k, :j)$ means the k^{th} element of the j^{th} column (target speech signal) of the projected matrix $U_*^T X^t$

Results and discussion

Results of the cross-corpus SER experiments in terms of UAR and WAR, where the common emotion states (5 classes) are Angry, Disgust, Fear, Happy and Sad.

#	Source Corpus	Source Corpus	SVM		KMM		KLIEP		uLSIF		DALSR		DoSL	
			UAR	WAR	UAR	WAR	UAR	WAR	UAR	WAR	UAR	WAR	UAR	WAR
1	EmoDB	eNTERFACE	30.06 ₃	30.08 ₃	23.08 ₅	23.14 ₅	21.79 ₆	21.82 ₆	25.75 ₄	25.75 ₄	36.36 ₂	36.40 ₂	37.49₁	37.51₁
2	eNTERFACE	EmoDB	27.83 ₆	24.27 ₆	40.18 ₄	44.69 ₃	28.58 ₅	27.01 ₅	40.42 ₃	42.27 ₄	44.41₁	52.27₁	44.25 ₂	52.00 ₂
3	EmoDB	AFEW4.0	26.07 ₄	25.99 ₄	30.39₁	29.78 ₃	25.47 ₆	25.57 ₆	25.75 ₃	25.93 ₃	27.51 ₃	30.19 ₂	29.10 ₂	31.00₁
4	AFEW4.0	EmoDB	29.87 ₅	35.02 ₅	38.17 ₂	46.81 ₃	27.41 ₆	31.37 ₆	36.25 ₄	44.38 ₄	37.33 ₃	47.80 ₂	39.66₁	50.00₁
5	eNTERFACE	AFEW4.0	20.80 ₅	18.39 ₆	23.79 ₃	25.72 ₃	18.66 ₆	18.60 ₅	22.61 ₄	21.21 ₄	24.67 ₂	27.70₁	24.83₁	26.20 ₂
6	AFEW4.0	eNTERFACE	18.68 ₄	18.72 ₄	19.75 ₃	19.75 ₃	17.48 ₆	17.47 ₆	18.10 ₅	18.11 ₅	21.93₁	21.96₁	21.64 ₂	21.66 ₂

◆ It is convincing that the limited label information provided by a small number of samples in source database will lead to low recognition rate.

◆ The data imbalance between source and target databases is an important factor which will affect the cross-cropus speech emotion recognition tasks.

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