

A DEEP NEURAL NETWORK BASED METHOD OF SOURCE LOCALIZATION IN A SHALLOW WATER ENVIRONMENT

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Abstract

This paper applies deep neural network (DNN) to source localization in a shallow water environment because of its powerful modeling capability and the little dependence on the prior knowledge of environmental parameters. The classical two-stage scheme is adopted, in which feature extraction and DNN analysis are independent steps. It firstly extracts the input feature from the observed signal received by underwater hydrophones. The eigenvectors associated with the modal signal space are decomposed from the covariance matrices of the data field at different frequencies, which are used as the input feature of DNN. The time delay neural network (TDNN) is exploited to model the long term feature representation and construct the regression model. The output is the source range-depth estimate. Several experiments using simulation and experimental data are conducted to evaluate the performance of the proposed method. The results demonstrate the effectiveness and potential of DNN for source localization. Particularly, experiments show that simulation data can be merged to train a general model for experimental data when lacking of sufficient training data in real-world environment.

Signal model

$$P(r_s, z_s, z_k) = ab \sum_{m=1}^M \frac{\Psi_m(z_s)\Psi_m(z_k)}{\sqrt{k_m r_s}} e^{jk_m r_s} + N(z_k),$$

a denotes the complex amplitude of the source, k_m^2 is the eigenvalue associated with the m -th mode, $\Psi_m(z_s)$ and $\psi_m(z_k)$ denote the m -th mode eigenfunctions at the source and receiver, $N(z_k)$ denotes the additive noise at the k -th sensor, M ($M < K$) denotes the mode number in the water column (higher modes are treated as noise).

$$P = aHS + N$$

Proposed Method

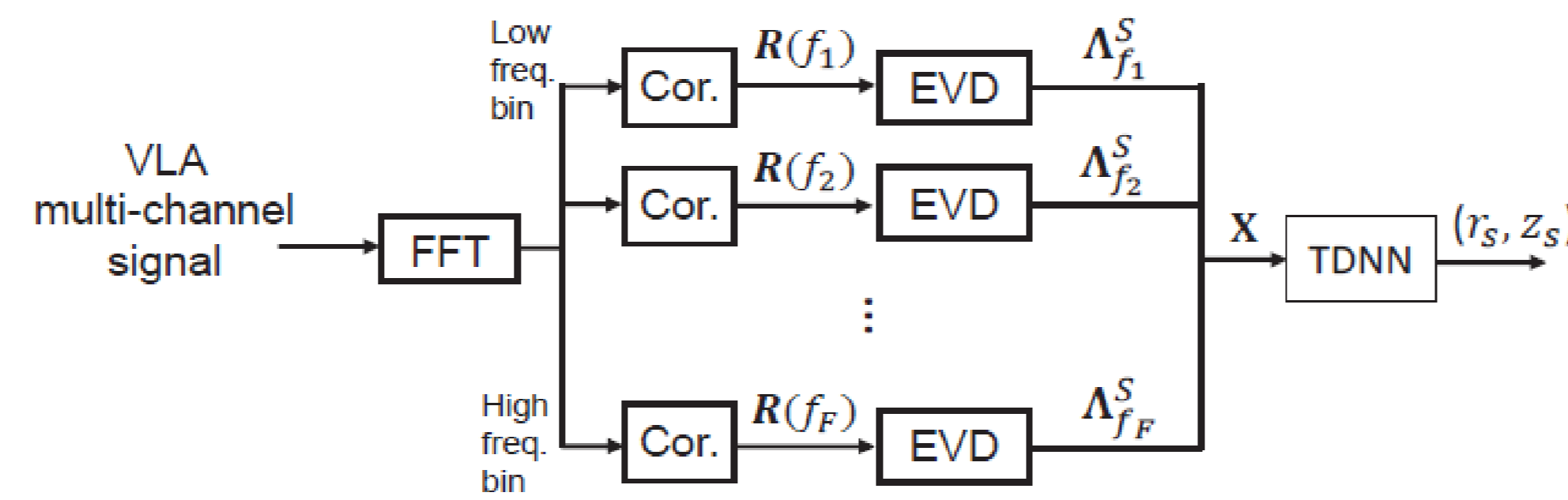


Fig. 1. Block diagram of the proposed method

1) Feature extraction

$$R(f) = \frac{1}{D} \sum_{d=1}^D P_d(f) P_d^+(f), \quad R(f) = \Lambda_f \Sigma_f \Lambda_f^+$$

$$= HR_S(f) H^+ + R_N(f), \quad = \Lambda_f^S \Sigma_f^S \Lambda_f^{S+} + \Lambda_f^N \Sigma_f^N \Lambda_f^{N+},$$

The eigenvectors associated with the modal signal space is taken as the input feature of DNN.

$$x \triangleq \bigcup_i [\mathcal{R}(\Lambda_{f_i}^S), \Im(\Lambda_{f_i}^S)], i = 1, \dots, F.$$

2) DNN analysis

Cost function: MSE
BP algorithm with SGD
Learning rate: 0.001
Batch size: 512

$$E = \frac{1}{L} \sum_{l=1}^L [(r_l - r'_l)^2 + (z_l - z'_l)^2].$$

Reference:

A. Waibel, T. Hanazawa, G. Hinton, K. Shikano, and K. J. Lang, "Phoneme recognition using time-delay neural networks," IEEE Transactions on Acoustics, Speech, and Signal Processing 37(3), 328--339 (1989).

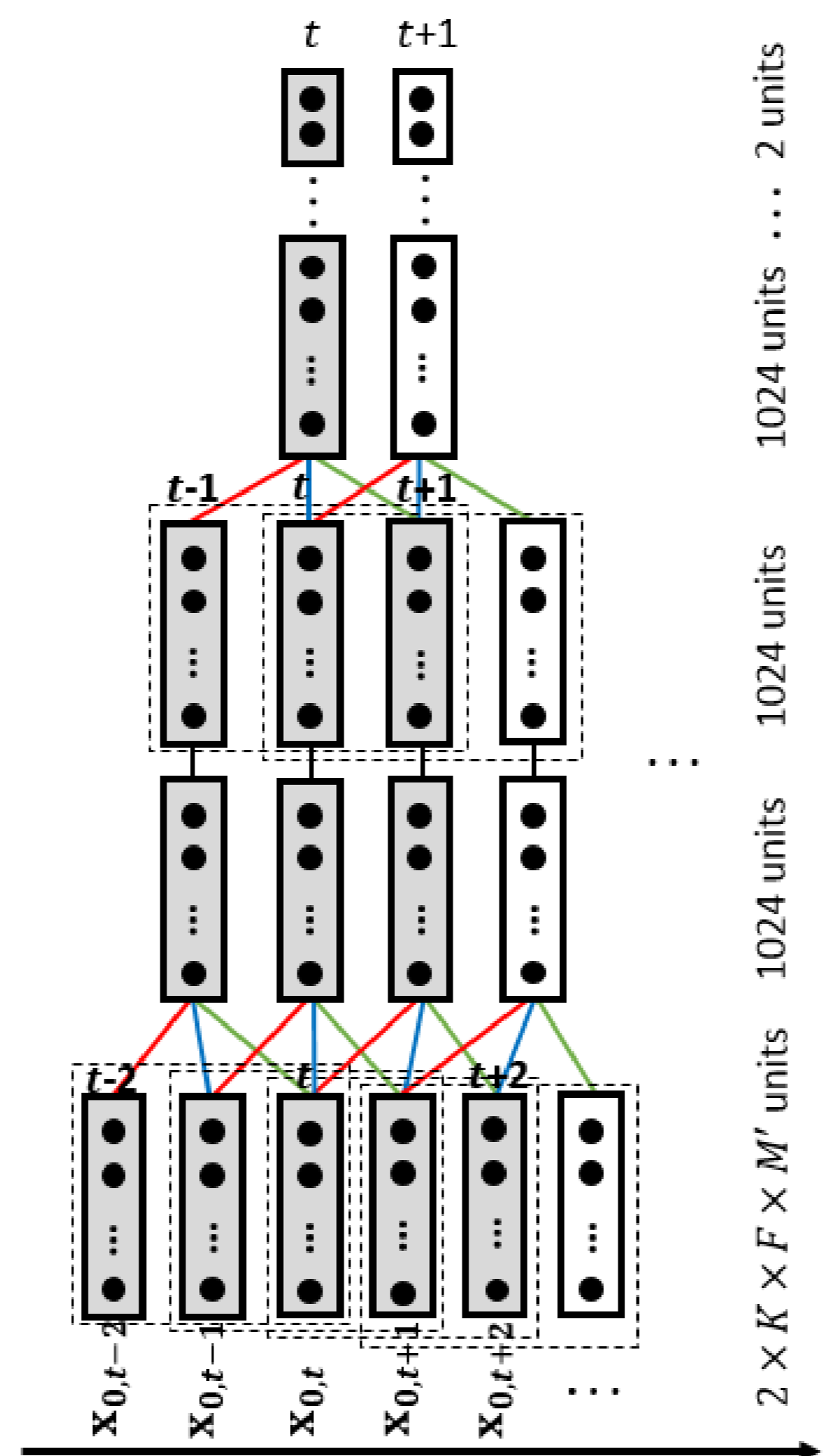


Fig. 2. Architecture of TDNN

Evaluations

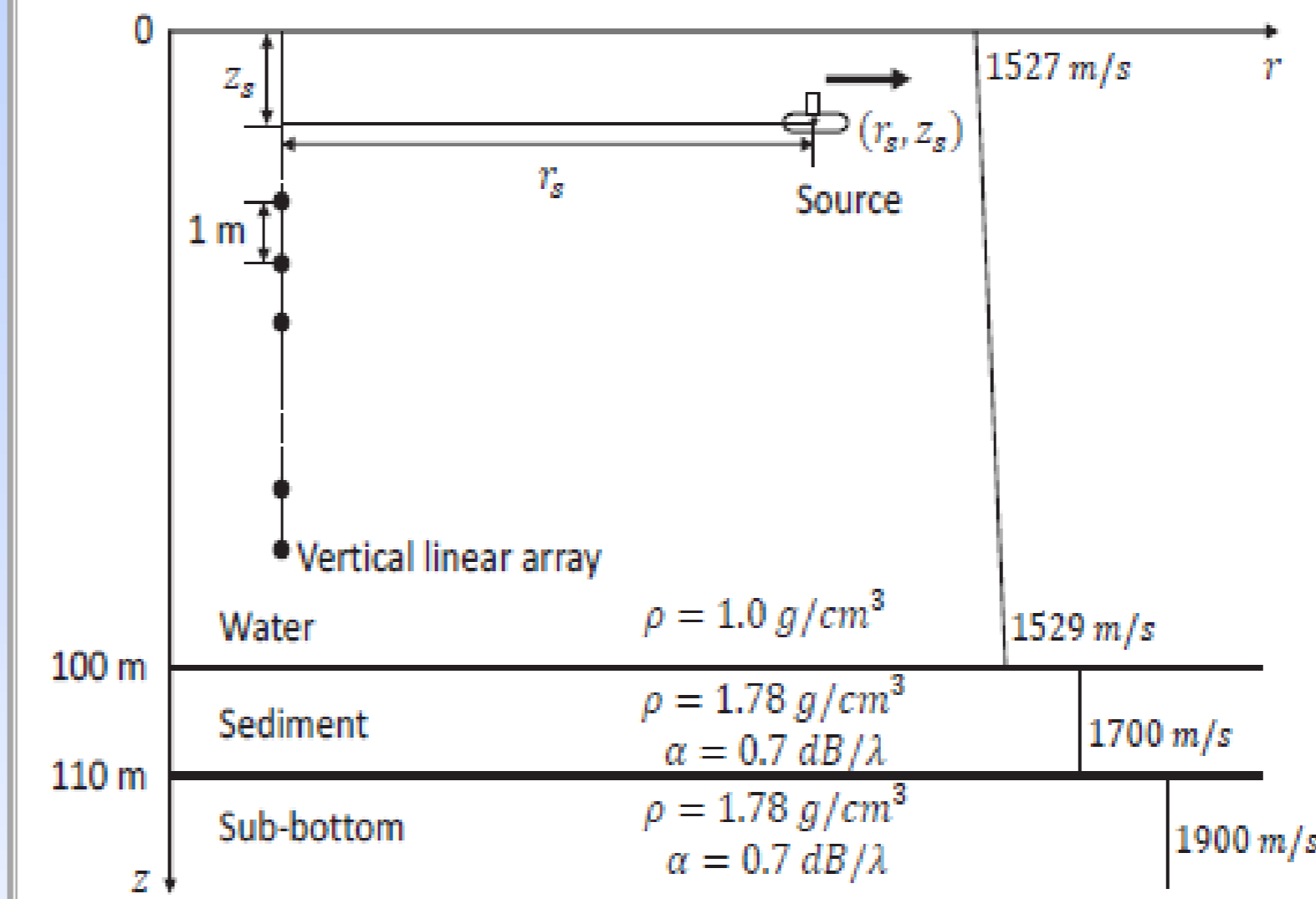


Fig. 3. Schematic diagram of the simulated acoustic environmental model

1) Simulation

Table 1. MAE and MRE comparison under different NLs.

Method	NL (dB)	Depth (m)	Range (km)
TDNN	25	0.34	0.04 (0.2%)
	45	0.39	0.14 (0.7%)
	65	0.55	0.18 (1.0%)
MFP	25	1.13	0.07 (0.3%)
	45	1.31	0.17 (0.7%)
	65	11.3	8.02 (43.7%)

TDNN outperforms MFP in all test condition.

2) Experiment

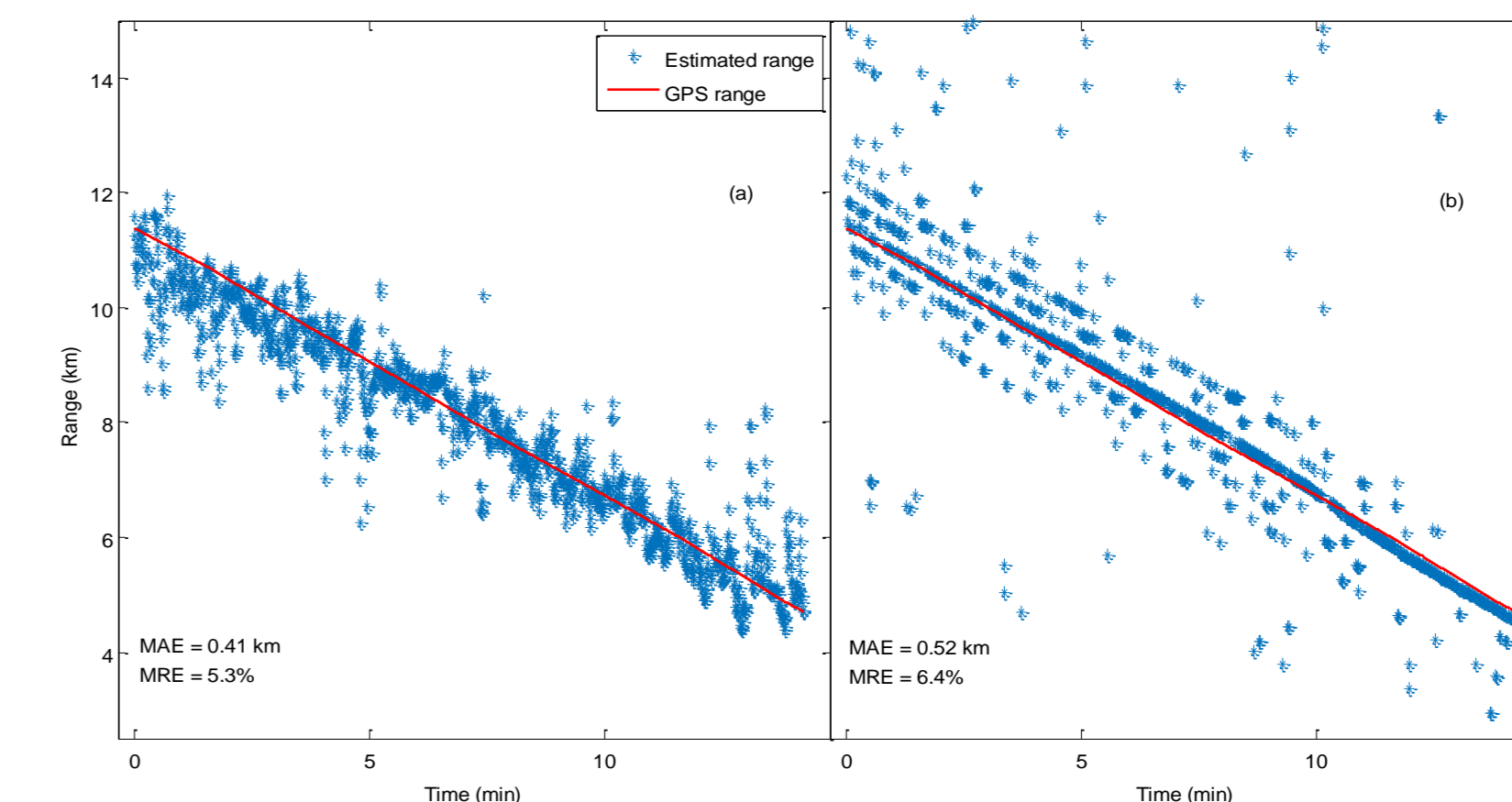


Fig. 4. Source ranging using the experimental data. (a) shows the result of the feature based method and (b) shows the result of MFP.

Table 2. MAE and MRE of range estimation for experimental data.

Method	MAE	MRE
TDNN	0.41	5.3%
MFP	0.52	6.4%

The results demonstrate that simulation data is helpful when training data are insufficient. The model trained by simulation data can also achieve a fairly good performance on experimental data.

Conclusions

In summary, our contributions are two-fold: (i) We applied TDNN to source localization task. Because DNN is a data-driven technique independent of environmental parameters, it does not rely on prior knowledge of environmental parameters and exhibits a better robustness than MFP in adverse situations for its strong nonlinear representation ability. (ii) Simulation data are available for source localization when lacking of real environment training data. Simulation data in close environments can be merged to train a general model.